

1 UNITED STATES COURT OF APPEALS  
2  
3 FOR THE SECOND CIRCUIT

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5

6 August Term 2002

7 Argued: March 20, 2003 Decided: July 10, 2003

8  
9 Docket No. 02-6133

10 -----X

11 NINA GREEN-YOUNGER,

12 Plaintiff-Appellant,

13 - against -

14 JOANNE B. BARNHART, Commissioner of the Social Security  
15 Administration,

16 Defendant-Appellee.

17 -----X

18 Before: FEINBERG, VAN GRAAFEILAND AND F. I. PARKER,  
19 Circuit Judges.

20 Appeal from an order of the United States District Court  
21 for the District of Connecticut (Droney, J.), affirming ALJ's  
22 decision to deny plaintiff disability benefits. We reverse  
23 and remand the case, because the ALJ erred in failing to  
24 accord controlling weight to the treating physician's opinion.

25 CHARLES A. PIRRO III, Pirro & Church, LLC,  
26 South Norwalk, CT, for Petitioner-  
27 Appellant.

28 ANN M. NEVINS, Bridgeport, CT, (Kevin J.  
29 O'Connor, United States Attorney for the  
30 District of Connecticut; Patrick J.  
31 Caruso; Jeffrey A. Meyer, of counsel), for  
32 Appellee.  
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1 FEINBERG, Circuit Judge:

2 Plaintiff Green-Younger appeals from a judgment of the  
3 United States District Court for the District of Connecticut  
4 (Christopher F. Droney, J.), accepting the recommended ruling  
5 of Magistrate Judge William I. Garfinkel to affirm the  
6 Administrative Law Judge's (ALJ) decision denying Green-  
7 Younger's application for social security disability benefits.  
8 On appeal, Green-Younger argues that the ALJ and the district  
9 court erred by failing to give controlling weight to the  
10 opinion of her treating physician that she suffers from  
11 fibromyalgia<sup>1</sup> and cannot work because of severe pain. For  
12 reasons stated below, we reverse and remand to the district  
13 court with instructions to remand the matter to the  
14 Commissioner of the Social Security Administration (SSA) for a  
15 calculation of disability benefits.

16  
17 I. Background

18 At the time of her SSA hearing, Nina Green-Younger was 38  
19 years old, and married with three children. After completing  
20 two years of college, Green-Younger worked full-time as a  
21 long-distance telephone operator for Southern New England  
22 Telephone (SNET) from 1978 to 1995. She also worked part-time  
23 as a mail sorter from 1985 to 1988. From 1988 to 1995, Green-

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<sup>1</sup> A syndrome of chronic pain of musculoskeletal origin but uncertain cause. Stedman's Medical Dictionary 671 (27th ed. 2000). This disorder is also commonly referred to as fibrositis.

1 Younger took seven disability leaves from her job, which  
2 lasted between one month and one year, before being placed on  
3 long-term disability in 1997. Green-Younger avers that she  
4 became totally disabled in May 1995, when she last worked.

5 A. Medical History

6 According to medical records and her testimony, Green-  
7 Younger's difficulties began in 1982 when she injured her back  
8 in a motor vehicle accident in the eighth month of her last  
9 pregnancy. To treat her back pain, she tried various anti-  
10 inflammatory and pain medications, physical therapy and  
11 chiropractic treatment. In April 1991, Green-Younger  
12 consulted an orthopedist who diagnosed degenerative disc  
13 disease. A 1991 MRI showed degeneration in the "4-5 and 5-1"  
14 regions. A 1992 discogram, while normal at L4-L5, showed that  
15 L5-S1 was "severely degenerated."

16 Beginning February 1994, Green-Younger began regular  
17 treatments with osteopath Dr. Jeffrey Helfand, a  
18 rheumatologist. After an initial consultation and  
19 examination, Dr. Helfand reported that Green-Younger  
20 complained of

21 pain in her right leg and low back which she  
22 states goes down into her coccyx area  
23 associated with tingling and weakness in her  
24 right arm which has been present intermittently  
25 since 1982. She states that the pain is always  
26 present but can be more severe at sometimes  
27 than at others. . . . She states she has  
28 difficulty sitting or standing for any  
29 prolonged time and complains of frequent sleep  
30 difficulty. The most recent prolonged episode  
31 of low back and leg pain began around October

1 1993 after approximately a six-month period  
2 when she was relatively symptom free.  
3

4 Dr. Helfand documented that "[m]usculoskeletal and  
5 extremity exams reveal multiple tender points in the  
6 distribution characteristic of fibromyalgia." He noted the  
7 results of a 1993 MRI showing minimal disc bulging at the L4-  
8 L5 and L5-S1 regions, but no disc herniation. Dr. Helfand  
9 found no reflex, sensory, or motor deficits, but he noted the  
10 presence of paresthesias<sup>2</sup>; significant spasm with limitation  
11 of lateral flexion and rotation in the lumbar paravertebral  
12 muscles; and marked tenderness over the posterior superior  
13 iliac spines bilaterally. Dr. Helfand eventually diagnosed  
14 Green-Younger as having fibromyalgia, as well as other  
15 illnesses--such as degenerative disc disease, chronic low back  
16 syndrome, and peroneal neuropathy<sup>3</sup>--associated with her back  
17 pain.

18 Green-Younger, who had taken a disability leave in  
19 January 1994, tried unsuccessfully to return to work after  
20 beginning treatment with Dr. Helfand. In April 1994, Dr.  
21 Helfand reported that she was "quite depressed and distraught  
22 regarding her condition and her persistent inability to go to  
23 work." The pain medications he prescribed, like many others  
24 Green-Younger had tried, did not provide her any significant

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<sup>2</sup> An abnormal sensation such as burning, prickling, tingling or tickling. Stedman's Medical Dictionary 1316.

<sup>3</sup> A disorder affecting the nerve extending along the fibula. Id. at 1211, 1354.

1 relief.<sup>4</sup> Although Dr. Helfand continued to prescribe  
2 medication, he noted that "there is probably little to suggest  
3 she will have any improvement from any further trials with  
4 NSAID's."<sup>5</sup> In September 1994, Dr. Helfand informed SNET,  
5 Green-Younger's employer, that her return-to-work date was  
6 indeterminate.

7 Dr. Helfand referred Green-Younger to Dr. Gary Dee at  
8 Norwalk Hospital, a specialist in pain management. In October  
9 1994, Dr. Dee began treating Green-Younger with a series of  
10 epidural blocks and steroid trigger point injections. An MRI  
11 of the lumbar spine performed at this time revealed a mild  
12 asymmetrical disc bulge at the L4-L5 and L3-L4 regions. Dr.  
13 Helfand recorded that Green-Younger had "some improvement"  
14 following the trigger point injections and was "able to better  
15 tolerate massage therapy." Dr. Helfand's later progress  
16 notes, however, show that the injections afforded her only  
17 "short-term relief." He reported that Green-Younger  
18 "continues to have chronic pain which has been limiting her  
19 ability for physical activity and for work" and "has had no  
20 relief with mild narcotic analgesics such as Darvocet or  
21 Vicodin."

22 Green-Younger and Dr. Helfand discussed her prognosis and  
23 ability to return to work. A SNET representative had informed

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<sup>4</sup> Green-Younger was prescribed 18 different drugs in 1994 and 1995.

<sup>5</sup> Nonsteroidal anti-inflammatory drugs.

1       them that Green-Younger's job would be terminated in January  
2       1995 unless she returned. SNET's position was clear that they  
3       did not want her to "work a few weeks and then be out." Dr.  
4       Helfand recorded his view that "it is unlikely that she will  
5       be able to return to work full-time for any significant  
6       duration." Rather than recommend applying for long-term  
7       disability, however, he suggested that Green-Younger "try to  
8       go back to work part-time for 2-3 weeks at four hours daily  
9       and [see] how she does."

10       Green-Younger returned to work in early December 1994 on  
11       a part-time basis. A few weeks later, Dr. Helfand noted that  
12       Green-Younger was "having somewhat of a difficult time but has  
13       decided to continue as long as she can." She continued until  
14       early May 1995, at which time she stopped working because of  
15       "severe low back tenderness and paresthesias in the lower  
16       extremities." Green-Younger also complained of pain in her  
17       upper back and right arm and hand. A physical exam showed a  
18       positive Tinel sign indicative of carpal tunnel syndrome.  
19       While an EMG performed in June did not show evidence of nerve  
20       entrapment, a subsequent EMG did.

21       In July 1995, Dr. Helfand wrote several letters  
22       describing Green-Younger's current limitations. In one letter  
23       requesting a medical exemption from jury service, Dr. Helfand  
24       explained that it was "difficult for [Green-Younger] to sit in  
25       any one position for more than 30 minutes without needing to  
26       get up and walk around." In other letters, he described her

1 current limitations to include "sitting and/or standing for 4  
2 hours or less daily," or "continuous sitting/and or sitting  
3 for no more than 60 minutes without a rest period," and no  
4 lifting, pulling or pushing.

5 In August 1995, physical therapist Jill Tomasello  
6 performed a two-day work fitness evaluation of Green-Younger  
7 for SNET. Tomasello found that "test results did not meet the  
8 criteria for consistent or maximum effort," explaining that  
9 "[t]his is not unusual for the initial test" and that "repeat  
10 testing is needed to verify the results." Tomasello  
11 nevertheless concluded that Green-Younger "has demonstrated  
12 the ability to work at a sedentary work level," and  
13 recommended a work hardening program if she is "unable to  
14 tolerate a return to work." However, a subsequent evaluation  
15 performed in July 1996 suggested that Green-Younger "was able  
16 to tolerate seated activity at a work site for a maximum of 30  
17 minutes before she would need to get up and move around  
18 freely."

19 In October 1995, Dr. Helfand informed SNET that Green-  
20 Younger could not return to work because of fibromyalgia,  
21 peroneal neuropathy, and chronic low back syndrome. Dr.  
22 Helfand explained in his progress notes that he had elected to  
23 consider her permanently disabled because "she has not had any  
24 dramatic improvement with any of the measures we have tried."

25 Dr. Helfand referred Green-Younger to a number of other  
26 doctors. Dr. Don Goldenberg, Chief of Rheumatology at the

1 Newton-Wellesley Hospital in Massachusetts and a fibromyalgia  
2 specialist, confirmed Dr. Helfand's diagnosis of fibromyalgia.  
3 Dr. Robert Goldring, who was providing chiropractic treatment  
4 at this time to alleviate pain and spasms, stated that Green-  
5 Younger's "long term pain" was "essentially due to her  
6 fibromyalgia." Green-Younger also consulted with orthopedist  
7 Dr. Ramon Batson. On a physical exam, he found "diffuse  
8 tenderness to palpation along the axial spine and in the SI  
9 joints bilaterally" and "trigger points present in the right  
10 trapezius muscles and in the right glutei." Dr. Batson noted  
11 Green-Younger's history of disc disease but not disc  
12 herniation, and recommended treatment for myofascial pain  
13 syndrome<sup>6</sup> if studies proved negative for surgical pathology.

14 A number of tests were ordered, apparently in part to  
15 rule out surgical pathology. Plain films did not reveal any  
16 abnormal movement or osseous lesions, but an MRI of the lumbar  
17 spine taken in 1995 again revealed bulging at the L3-L4 and  
18 L4-L5 regions. Green-Younger underwent a full body scan in  
19 July 1996. The scan revealed "one significant abnormality:  
20 there is increased activity in the right sacroiliac joint  
21 which may represent sacroiliitis<sup>7</sup> or a consequence of previous

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<sup>6</sup> According to the medical articles included in Green-Younger's brief, myofascial pain syndrome is a disorder closely related to fibromyalgia.

<sup>7</sup> An inflammation of the sacroiliac joint, which connects the sacrum, or lower back forming part of the pelvis, to the ilium, or hip bone. Stedman's Medical Dictionary at 1587-88, 875.

1 trauma." Dr. Helfand pursued the possibility of implanting a  
2 spinal cord stimulator, but abandoned this option after  
3 neurosurgeon Dr. Charles Needham "excluded any significant  
4 nerve compression disease and any surgical approach to  
5 management."

6 In July 1996, Dr. Helfand again diagnosed Green-Younger  
7 with "severe fibromyalgia." He explained that fibromyalgia is  
8 "typically characterized by severe fatigue, diffuse muscular  
9 soreness and tenderness which in certain instances can be  
10 debilitating." He noted the difficulty of proving disability  
11 on this basis because of the absence of objective evidence to  
12 quantify the severity of the pain. He reported that "her pain  
13 is frequently overwhelming and the associated fatigue can  
14 cause a significant limitation in her ability to function on a  
15 daily basis." Dr. Helfand opined that "her ability to  
16 function at a normal level because of the persistent, severe  
17 pain is markedly limited." In a December 1998 letter to  
18 Green-Younger's attorney, Dr. Helfand explained that "she  
19 continues to experience significant difficulty with her  
20 activities of daily living," and noted a "relatively acute  
21 onset of severe tenderness and stiffness . . . with multiple  
22 tender points." He concluded that "it should probably be  
23 obvious that she continues to have significant disability and  
24 at this time will most likely be unable to retain any  
25 significant gainful employment."

26 B. Procedural History

1           In August 1995, Green-Younger filed an application for  
2           disability benefits.<sup>8</sup> The SSA denied her application  
3           initially in October 1995 and upon reconsideration in December  
4           1995. The SSA consulting physicians disagreed with Dr.  
5           Helfand's conclusion that Green-Younger was "limited to  
6           sitting and/or standing for four hours or less," because  
7           "[e]vidence does not show deficits of motor function or  
8           significant arthritis to severely limit standing or sitting."  
9           Green-Younger sought a review before an ALJ of the SSA Office  
10          of Hearings and Appeals.<sup>9</sup> A hearing was conducted in August  
11          1997. Green-Younger, who was represented by counsel,  
12          testified on her own behalf with regard to her medical history  
13          and daily limitations, including her inability to do most  
14          housework or to sit or stand comfortably for more than 30  
15          minutes. Jeff Blanks, Ph.D., a vocational expert, also  
16          testified. He identified Green-Younger's past work as a  
17          telephone operator and mail clerk as semiskilled and  
18          unskilled, respectively, and sedentary in nature. The ALJ  
19          asked Dr. Blanks whether an individual could perform Green-  
20          Younger's past work if she could sit for six hours a day and

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<sup>8</sup> This was Green-Younger's second application. She first applied for disability benefits in January 1989 but was denied. She did not appeal that decision.

<sup>9</sup> The SSA initially denied this request as untimely and dismissed the case. In October 1996, the dismissal was vacated at Green-Younger's request. In May 1997, the SSA Appeals Council remanded the dismissal to an ALJ with instructions to hold a hearing.

1 stand and walk for a total of two hours a day, or  
2 alternatively sit or stand at least every hour. Dr. Blanks  
3 answered that the individual could perform Green-Younger's  
4 past work as a mail clerk but not as a telephone operator.  
5 Green-Younger's counsel, in turn, asked him whether an  
6 individual who could sustain the sitting position for only  
7 about 30 minutes at a time, sit and/or stand for a total of  
8 only four hours and tolerate upper body activities for only  
9 two minutes at a time would be able to perform Green-Younger's  
10 past work. Dr. Blanks answered no and also opined, on  
11 counsel's inquiry, that there is no other type of job a person  
12 with those limitations might be able to perform.

13 In September 1997, the ALJ issued a decision denying  
14 Green-Younger's application. Although the ALJ found that the  
15 "medical evidence of record documents that the claimant has  
16 fibromyalgia and degenerative disc disease" and that these  
17 impairments were severe, the ALJ also found that Green-Younger  
18 retained the residual functional capacity to occasionally lift  
19 and carry up to 10 pounds, sit for six hours a day and walk or  
20 stand for two hours a day. The ALJ concluded that Green-  
21 Younger could perform her past work as a mail clerk and  
22 therefore was not disabled within the meaning of the SSA.  
23 Specifically, the ALJ found that "[c]ontrary to the claimant's  
24 persistent complaints of pain, there are no objective medical  
25 findings." He noted in this regard that there was no  
26 "evidence of radiculopathy," "signs of sacroilitis," "abnormal

1 chest examinations," or "abnormal movement or osseous  
2 lesions." As a result, the ALJ found that (1) the opinions of  
3 Dr. Helfand regarding Green-Younger's limitations "cannot be  
4 afforded extra weight because they are not well-supported by  
5 medically acceptable clinical and laboratory diagnostic  
6 techniques, and are inconsistent with the other substantial  
7 evidence of record," namely physical therapist Tomasello's  
8 work capacity evaluation; and (2) Green-Younger's "allegations  
9 of pain and functional limitations are . . . not entirely  
10 credible in light of the minimal objective medical findings."  
11 In total, the ALJ's six-page opinion referred five times to a  
12 lack of objective evidence. Finally, the ALJ also noted that  
13 Green-Younger was currently taking only one medication for her  
14 pain, and that "the evidence does not show that there have  
15 been any changes in her condition from prior to that time when  
16 she had worked while also receiving treatment for her alleged  
17 impairments."

18 The SSA Appeals Council affirmed the ALJ's decision and  
19 Green-Younger timely appealed to the United States District  
20 Court for the District of Connecticut, asserting numerous  
21 grounds for remand. In August 2001, Magistrate Judge William  
22 Garfinkel issued a lengthy ruling recommending affirmance of  
23 the ALJ's decision. In March 2002, the district court entered  
24 a brief order accepting the recommended ruling in its  
25 entirety.

26 This appeal followed.

1 II. Discussion

2 In this court, Green-Younger argues that the ALJ  
3 misapplied SSA regulations by failing to give controlling  
4 weight to the opinion of her treating physician that she  
5 suffers from fibromyalgia and that the attendant pain and  
6 fatigue severely limit her ability to function and work on a  
7 daily level. She argues that the ALJ, as well as the district  
8 court, misunderstood the nature of fibromyalgia in requiring  
9 "objective" evidence beyond those clinical signs and symptoms  
10 necessary for a diagnosis. The government notes that the ALJ  
11 did credit Dr. Helfand's diagnosis of fibromyalgia, but argues  
12 that his conclusion on the ultimate issue of legal disability  
13 was not entitled to controlling weight and that substantial  
14 evidence supports the ALJ's decision.

15 A. Standard of Review

16 "When deciding an appeal from a denial of disability  
17 benefits, we focus on the administrative ruling rather than  
18 the district court's opinion." *Curry v. Apfel*, 209 F.3d 117,  
19 122 (2d. Cir. 2000) (citing *Schaal v. Apfel*, 134 F.3d 496,  
20 500-01 (2d Cir. 1998)). We conduct a plenary review of the  
21 administrative record to determine "whether the Commissioner's  
22 conclusions 'are supported by substantial evidence in the  
23 record as a whole or are based on an erroneous legal  
24 standard.'" *Id.* (internal citation omitted); see also *Balsamo*  
25 *v. Chater*, 142 F.3d 75, 79 (2d Cir. 1998). Substantial  
26 evidence "means such relevant evidence as a reasonable mind

1 might accept as adequate to support a conclusion.’” Curry,  
2 209 F.3d at 122 (quoting Richardson v. Perales, 402 U.S. 389,  
3 401 (1971)).

4 B. Merits

5 To be eligible for disability benefits under the Social  
6 Security Act, a claimant must establish “inability to engage  
7 in any substantial gainful activity by reason of any medically  
8 determinable physical or mental impairment . . . which has  
9 lasted or can be expected to last for a continuous period of  
10 not less than 12 months.” 42 U.S.C. § 423(d)(1)(A). The SSA  
11 has promulgated regulations prescribing a five-step analysis  
12 for evaluating disability claims. “In essence, if the  
13 Commissioner determines (1) that the claimant is not working,  
14 (2) that he has a ‘severe impairment,’ (3) that the impairment  
15 is not one [listed in Appendix 1 of the regulations] that  
16 conclusively requires a determination of disability, and (4)  
17 that the claimant is not capable of continuing in his prior  
18 type of work, the Commissioner must find him disabled if (5)  
19 there is not another type of work the claimant can do.”

20 *Draegert v. Barnhart*, 311 F.3d 468, 472 (2d Cir. 2002); see  
21 also *Shaw v. Chater*, 221 F.3d 126, 132 (2d Cir. 2000). The  
22 claimant bears the burden of proof on the first four steps,  
23 while the SSA bears the burden on the last step. See *id.*

24 In this case, as we have indicated, the ALJ found that  
25 Green-Younger has fibromyalgia and degenerative disc disease;  
26 that her impairments were severe but did not equal or exceed a

1 listed impairment; and that she had the residual functional  
2 capacity to do sedentary work, involving six hours a day of  
3 sitting and two hours of standing or walking. The ALJ  
4 rejected the contrary opinion of Green-Younger's treating  
5 physician, Dr. Helfand, that her limitations were more severe.

6 The SSA recognizes a "treating physician" rule of  
7 deference to the views of the physician who has engaged in the  
8 primary treatment of the claimant. "A treating physician's  
9 statement that the claimant is disabled cannot itself be  
10 determinative." *Snell v. Apfel*, 177 F.3d 128, 133 (2d Cir.  
11 1999). However, SSA regulations advise claimants that "a  
12 treating source's opinion on the issue(s) of the nature and  
13 severity of your impairment(s)" will be given "controlling  
14 weight" if the opinion is "well supported by medically  
15 acceptable clinical and laboratory diagnostic techniques and  
16 is not inconsistent with the other substantial evidence in  
17 your case record." 20 C.F.R. § 404.1527(d)(2) (emphasis  
18 added). See also *Shaw*, 221 F.3d at 134; *Rosa v. Callahan*, 168  
19 F.3d 72, 78-79 (2d Cir. 1999) ("[T]he ALJ cannot arbitrarily  
20 substitute his own judgment for competent medical opinion").

21 We conclude from the record before us that the ALJ erred  
22 by failing to give controlling weight to the treating  
23 physician's opinion and effectively requiring objective  
24 evidence beyond the clinical findings necessary for a  
25 diagnosis of fibromyalgia under established medical  
26 guidelines. Dr. Helfand's opinion regarding Green-Younger's

1        impairments meets the standard under the SSA regulations and  
2        should have been accorded controlling weight. Contrary to the  
3        government's contention, Dr. Helfand was not offering an  
4        opinion on the ultimate issue of legal disability, but rather  
5        on the "nature and severity of [Green-Younger's]  
6        impairment(s)." He opined that "her ability to function at a  
7        normal level because of the persistent, severe pain is  
8        markedly limited," noting specifically that she could not sit  
9        or stand for more than four hours a day, that she could not  
10       continuously sit or stand for 60 minutes without a rest  
11       period, and that it was difficult for her to sit for more than  
12       30 minutes at a time.<sup>10</sup>

13                At the time of the hearing in 1997, Dr. Helfand had  
14       coordinated Green-Younger's care for over three years, during  
15       which time she underwent numerous physical examinations and  
16       diagnostic procedures.<sup>11</sup> Dr. Helfand's diagnosis of severe  
17       fibromyalgia and degenerative disc disease are "well supported  
18       by medically acceptable clinical and laboratory diagnostic  
19       techniques." Green-Younger exhibited the clinical signs and  
20       symptoms to support a fibromyalgia diagnosis under the

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<sup>10</sup> The government argues that Dr. Helfand's opinion that appellant could not continuously sit or stand for more than one hour is inconsistent with his statement that it would be difficult for her to sit for more than thirty minutes. In fact, having difficulty sitting for half an hour and being unable to sit continuously for one hour are completely consistent with one another.

<sup>11</sup> As of the time of the appeal in May 2002, Dr. Helfand has treated Green-Younger for eight years.

1 American College of Rheumatology (ACR) guidelines, including  
2 primarily widespread pain in all four quadrants of the body  
3 and at least 11 of the 18 specified tender points on the body.  
4 See SSA Memorandum, *Fibromyalgia, Chronic Fatigue Syndrome,*  
5 *and Objective Medical Evidence Requirements for Disability*  
6 *Adjudication*, at 5 (May 11, 1998) (explaining that the signs  
7 for fibromyalgia, according to the ACR, "are primarily the  
8 tender points"); see also *Sarchet v. Chater*, 78 F.3d 305, 306  
9 (7th Cir. 1996); *Lisa v. Sec. of the Dep't of Health and Human*  
10 *Servs.*, 940 F.2d 40, 43 (2d Cir. 1991). As noted earlier, Dr.  
11 Helfand documented that "[m]usculo-skeletal and extremity  
12 exams reveal multiple tender points in the distribution  
13 characteristic of fibromyalgia." A number of other doctors,  
14 including a fibromyalgia specialist, concurred in that  
15 diagnosis, presumably using proper diagnostic techniques.<sup>12</sup> In  
16 addition, several MRIs showed some bulging in her discs and  
17 several doctors concurred that Green-Younger had a history of  
18 degenerative disc disease.

19 The fact that Dr. Helfand also relied on Green-Younger's  
20 subjective complaints hardly undermines his opinion as to her  
21 functional limitations, as "[a] patient's report of  
22 complaints, or history, is an essential diagnostic tool."  
23 *Flanery v. Chater*, 112 F.3d 346, 350 (8th Cir. 1997). Partly

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<sup>12</sup> That was certainly the contention in Green-Younger's brief and at oral argument and the government did not dispute it.

1 in an effort to avoid long-term disability status for Green-  
2 Younger, Dr. Helfand ordered various treatments, including  
3 medication, trigger point steroid injections and epidural  
4 blocks, and physical and chiropractic therapy. He personally  
5 monitored the effectiveness of various therapies and found  
6 that they failed to provide any significant improvement in  
7 Green-Younger's condition.

8 By contrast, the only evidence which might be  
9 inconsistent with Dr. Helfand's opinion is not substantial--  
10 that is, it cannot reasonably support the conclusion that  
11 appellant can work. The ALJ relied on the 1995 work fitness  
12 evaluation conducted by physical therapist Tomasello. Given  
13 that Tomasello was not a physician, that she stated that her  
14 conclusion was based on inconsistent results and required  
15 verification, and that a subsequent evaluation produced  
16 contrary results, Tomasello's one-shot evaluation is not  
17 substantial evidence. Similarly, the reports of two SSA  
18 consulting physicians, who did not examine Green-Younger, are  
19 also not substantial evidence. The first appears to rely  
20 entirely on Tomasello's report, whereas the second found that  
21 Green-Younger could perform sedentary work because "[e]vidence  
22 does not show deficits of motor function or significant  
23 arthritis to severely limit sitting or standing." However,  
24 Green-Younger was not complaining of deficits in motor  
25 functioning or arthritis, she was complaining of debilitating  
26 pain from fibromyalgia.

1           It also appears to us that the ALJ, like the SSA  
2 consulting physicians, did not actually credit Dr. Helfand's  
3 diagnosis of fibromyalgia or misunderstood its nature. The  
4 ALJ effectively required "objective" evidence for a disease  
5 that eludes such measurement. As a general matter,  
6 "objective" findings are not required in order to find that an  
7 applicant is disabled.<sup>13</sup> See *Donato v. Sec. of Dep't of Health*  
8 *and Human Servs.*, 721 F.2d 414, 418-19 (2d Cir. 1983)  
9 ("Subjective pain may serve as the basis for establishing  
10 disability, even if . . . unaccompanied by positive clinical  
11 findings of other 'objective' medical evidence") (emphasis in  
12 original) (citation omitted); *Cruz v. Sullivan*, 912 F.2d 8, 12  
13 (2d Cir. 1990); *Eiden v. Secretary of Health, Educ., and*  
14 *Welfare*, 616 F.2d 63, 65 (2d Cir. 1980); *Cutler v. Weinberger*,  
15 516 F.2d 1282, 1286-87 (2d Cir. 1975); *Cline v. Sullivan*, 939  
16 F.2d 560, 566 (8th Cir. 1991).

17           Moreover, a growing number of courts, including our own,  
18 see *Lisa*, 940 F.2d at 44-45, have recognized that fibromyalgia  
19 is a disabling impairment and that "there are no objective  
20 tests which can conclusively confirm the disease." *Preston v.*  
21 *Sec. of Health and Human Servs.*, 854 F.2d 815, 818 (6th Cir.

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<sup>13</sup> In concluding otherwise, the magistrate judge cited a case from the Eastern District of Illinois, *May v. Apfel*, 1999 WL1011927, at 14 (N.D. Ill. 1999), which both misstated the underlying law and appears to be contrary to Seventh Circuit precedent which allows fibromyalgia to be the basis for a disability determination even though "its symptoms are entirely subjective." *Sarchet*, 78 F.3d at 306.

1 1988); see *Sarchet*, 78 F.3d at 306; see also *Harman v. Apfel*,  
2 211 F.3d 1172, 1179-80 (9th Cir. 2000); *Kelley v. Callahan*,  
3 133 F.3d 583, 585 n.2 (8th Cir. 1998). Yet each of the ALJ's  
4 determinations turned on a perceived lack of objective  
5 evidence. First, the ALJ determined that Dr. Helfand's  
6 opinion was not "well supported by medically acceptable  
7 clinical and laboratory diagnostic techniques" because of a  
8 lack of "objective" findings.<sup>14</sup> Second, the ALJ determined  
9 that Dr. Helfand's opinion was "inconsistent with other  
10 substantial evidence," namely *Tomasello's* work fitness  
11 evaluation, because it was not supported by "objective"  
12 findings. Finally, the ALJ also found that *Green-Younger's*  
13 "allegations of pain and functional limitations are found not  
14 to be entirely credible, particularly in light of the minimal  
15 objective findings."

16 As we have discussed, the ALJ erred in not giving  
17 controlling weight to Dr. Helfand's opinions. With regard to  
18 the issue of *Green-Younger's* credibility, her complaints of  
19 pain in her back, legs, and upper body, fatigue, and disturbed  
20 sleep are internally consistent and consistent with common  
21 symptoms of fibromyalgia. Dr. Helfand's diagnosis of  
22 fibromyalgia bolsters the credibility of *Green-Younger's*  
23 complaints. See *Lisa*, 940 F.2d at 44. By comparison, the

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<sup>14</sup> Notably, the ALJ did not mention the presence of tender points, the primary diagnostic technique for fibromyalgia.

1 reasons suggested by the ALJ simply do not undermine her  
2 credibility. First, the ALJ found that the relative lack of  
3 physical abnormalities undercut her credibility.<sup>15</sup> However, we  
4 have recognized that “[i]n stark contrast to the unremitting  
5 pain of which fibrositis patients complain, physical  
6 examinations will usually yield normal results--a full range  
7 of motion, no joint swelling, as well as normal muscle  
8 strength and neurological reactions.” Id. at 45 (quoting  
9 Preston, 854 F.2d at 818). Hence, the absence of swelling  
10 joints or other orthopedic and neurologic deficits “is no more  
11 indicative that the patient’s fibromyalgia is not disabling  
12 than the absence of a headache is an indication that a  
13 patient’s prostate cancer is not advanced.” Sarchet, 78 F.3d  
14 at 307. Rather, these negative findings simply confirm a  
15 diagnosis of fibromyalgia by a process of exclusion,  
16 eliminating “other medical conditions which may manifest  
17 fibrositis-like symptoms of musculoskeletal pain, stiffness,  
18 and fatigue.” Preston, 854 F.2d at 819.

19 Second, the ALJ noted that Green-Younger was only taking  
20 one medication for pain. But Dr. Helfand’s records show that  
21 he reduced the number of pain medications, not because Green-  
22 Younger’s pain lessened, but because the medications were  
23 ineffective in alleviating pain, necessitating alternative

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<sup>15</sup> Moreover, the ALJ’s evaluation of the medical evidence understates the degree to which laboratory tests revealed the presence of physical abnormalities.

1 approaches. Moreover, it would seem that the strength, not  
2 the quantity, of painkillers is what matters. Finally, the  
3 absence of marked physical change between when Green-Younger  
4 was working and when she stopped is of little consequence  
5 given that she could not acceptably perform her job while  
6 employed and would not be welcomed back if she continued to  
7 exhibit her historical levels of absenteeism. As the  
8 magistrate judge noted, "in the six-year, ten-month period  
9 from July 20, 1988 until she stopped working on May 8, 1995,  
10 Green-Younger was out of work on disability leave for a period  
11 of at least four years."

### 12 III. Conclusion

13 After a full review of the record, we conclude that the  
14 ALJ's decision that Green-Younger is not legally disabled is  
15 based on an erroneous legal standard and is not supported by  
16 substantial evidence. When Dr. Helfand's opinions regarding  
17 Green-Younger's limitations are given controlling weight, it  
18 is clear that Green-Younger would not be able to perform her  
19 past work as a mail clerk. Dr. Blanks, the only vocational  
20 expert to testify before the ALJ, admitted that a person who  
21 could sit for only 30 minutes at a time and sit or stand for  
22 only four hours a day could not work as a mail clerk, or be  
23 otherwise employed in the national economy. Cf. Harman, 211  
24 F.3d at 1180 (remanding for further proceedings because "there  
25 was no testimony from the vocational expert that the  
26 limitations found by the [treating physician] would render

1 Appellant unable to engage in any work"). Accordingly, we  
2 reverse and remand to the district court with instructions to  
3 remand the matter to the Commissioner of the SSA for a  
4 calculation of disability benefits.

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